

Alte Leipziger

All Votes

01/10/2020 to 31/12/2020

Alte Leipziger All Votes Report

Vote Summary Report

Date range covered: 10/01/2020 to 12/31/2020

Fidelity Funds - Asian Special Situations Fund

Meeting Date: 10/01/2020

Country: Luxembourg

Meeting Type: Annual

Ticker: X0T6HN.F

Primary ISIN: LU2038752825

Primary SEDOL: BJVNFD8

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Board's Report	Mgmt			
2	Receive Auditor's Report	Mgmt			
3	Approve Financial Statements	Mgmt	For	For	For
4	Approve Discharge of Directors	Mgmt	For	For	For
5.1	Re-elect Yousef Al-Awadi as Director	Mgmt	For	For	For
5.2	Re-elect Didier Cherpitel as Director	Mgmt	For	For	For
5.3	Re-elect Carine Feipel as Director	Mgmt	For	For	For
5.4	Re-elect Simon Fraser as Director	Mgmt	For	For	For
5.5	Re-elect Abby Johnson as Director	Mgmt	For	For	For
5.6	Re-elect Glen Moreno as Director	Mgmt	For	For	For
5.7	Re-elect Anne Richards as Director	Mgmt	For	For	For
5.8	Re-elect Jon Skillman as Director	Mgmt	For	For	For
5.9	Re-elect FIL (Luxembourg) S.A. as Corporate Director	Mgmt	For	For	For
6	Approve Remuneration of Directors	Mgmt	For	For	For
7	Renew Appointment of Deloitte Audit SARL as Auditor	Mgmt	For	For	For
8	Approve Dividends	Mgmt	For	For	For
9	Transact Other Business (Non-Voting)	Mgmt			

Fidelity Funds - European Dynamic Growth Fund

Meeting Date: 10/01/2020

Country: Luxembourg

Meeting Type: Annual

Ticker: 46856

Primary ISIN: LU0119124781

Primary SEDOL: B54GLG1

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Fidelity Funds - European Dynamic Growth Fund

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Board's Report	Mgmt			
2	Receive Auditor's Report	Mgmt			
3	Approve Financial Statements	Mgmt	For	For	For
4	Approve Discharge of Directors	Mgmt	For	For	For
5.1	Re-elect Yousef Al-Awadi as Director	Mgmt	For	For	For
5.2	Re-elect Didier Cherpitel as Director	Mgmt	For	For	For
5.3	Re-elect Carine Feipel as Director	Mgmt	For	For	For
5.4	Re-elect Simon Fraser as Director	Mgmt	For	For	For
5.5	Re-elect Abby Johnson as Director	Mgmt	For	For	For
5.6	Re-elect Glen Moreno as Director	Mgmt	For	For	For
5.7	Re-elect Anne Richards as Director	Mgmt	For	For	For
5.8	Re-elect Jon Skillman as Director	Mgmt	For	For	For
5.9	Re-elect FIL (Luxembourg) S.A. as Corporate Director	Mgmt	For	For	For
6	Approve Remuneration of Directors	Mgmt	For	For	For
7	Renew Appointment of Deloitte Audit SARL as Auditor	Mgmt	For	For	For
8	Approve Dividends	Mgmt	For	For	For
9	Transact Other Business (Non-Voting)	Mgmt			

SSgA SPDR ETFs Europe I plc - SPDR BloomBarclays Euro Aggregate Bd UCITS ETF

Meeting Date: 10/22/2020

Country: Ireland

Meeting Type: Annual

Ticker: SYBA

Primary ISIN: IE00B41RYL63

Primary SEDOL: B3XL765

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Ratify PricewaterhouseCoopers as Auditors	Mgmt	For	For	For

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Date range covered: 10/01/2020 to 12/31/2020

SSgA SPDR ETFs Europe I plc - SPDR BloomBarclays Euro Aggregate Bd UCITS ETF

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
4	Review the Company's Affairs	Mgmt	For	For	For
5	Transact Other Business	Mgmt	For	Against	Against

Banco Santander SA

Meeting Date: 10/26/2020

Country: Spain

Meeting Type: Special

Ticker: SAN

Primary ISIN: ES0113900J37

Primary SEDOL: 5705946

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Allocation of Income and Dividends	Mgmt	For	For	For
2.A	Fix Number of Directors at 15	Mgmt	For	For	For
2.B	Elect Ramon Martin Chavez Marquez as Director	Mgmt	For	For	For
3.A	Approve Company's Balance Sheet as of June 30, 2020	Mgmt	For	For	For
3.B	Approve Bonus Share Issue	Mgmt	For	For	For
4	Approve Distribution of Share Issuance Premium	Mgmt	For	For	For
5	Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	For	For	For

BlueBay Funds - BlueBay Investment Grade Euro Government Bond Fund

Meeting Date: 10/27/2020

Country: Luxembourg

Meeting Type: Annual

Ticker: LRKBVL.F

Primary ISIN: LU0549539178

Primary SEDOL: 4F7DB44

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Audited Annual Report for the Fund and Approve Auditor's Report	Mgmt	For	For	For

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Date range covered: 10/01/2020 to 12/31/2020

BlueBay Funds - BlueBay Investment Grade Euro Government Bond Fund

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Approve Allocation of Income	Mgmt	For	For	For
3	Approve Discharge of Directors	Mgmt	For	For	For
4	Elect Constantine Knox as Director	Mgmt	For	For	For
5	Elect Henry Kelly, William Jones, Luigi Passamonti, Nicholas Williams, Siu-Wai Ng and Constantine Knox as Directors	Mgmt	For	For	For
<i>Voter Rationale: The board should submit directors for re-election individually, rather than as a single slate to enable shareholders to hold directors individually accountable for their performance.</i>					
6	Appoint PricewaterhouseCoopers as Auditor	Mgmt	For	For	For
7	Approve Remuneration of Directors for the Fiscal Year Ended June 30, 2020	Mgmt	For	Against	Against
<i>Voter Rationale: Companies should provide sufficient information on directors' fees to enable shareholders to cast an informed vote.</i>					
8	Approve Remuneration of the Chairman for the Fiscal Year Ended June 30, 2020	Mgmt	For	Against	Against
<i>Voter Rationale: Companies should provide sufficient information on directors' fees to enable shareholders to cast an informed vote.</i>					

Aena S.M.E. SA

Meeting Date: 10/29/2020	Country: Spain	Ticker: AENA
Meeting Type: Annual	Primary ISIN: ES0105046009	Primary SEDOL: BVRZ8L1

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Standalone Financial Statements	Mgmt	For	For	For
2	Approve Consolidated Financial Statements	Mgmt	For	For	For
3	Approve Allocation of Income and Dividends	Mgmt	For	For	For
4	Approve Non-Financial Information Statement	Mgmt	For	For	For
5	Approve Reclassification of Voluntary Reserves to Capitalization Reserves	Mgmt	For	For	For
6	Approve Discharge of Board	Mgmt	For	For	For
7.1	Reelect Amancio Lopez Seijas as Director	Mgmt	For	For	For
7.2	Reelect Jaime Terceiro Lomba as Director	Mgmt	For	For	For
7.3	Elect Irene Cano Piquero as Director	Mgmt	For	For	For

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Date range covered: 10/01/2020 to 12/31/2020

Aena S.M.E. SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7.4	Elect Francisco Javier Marin San Andres as Director	Mgmt	For	For	For
8	Authorize Share Repurchase Program	Mgmt	For	For	For
9	Advisory Vote on Remuneration Report	Mgmt	For	Against	Against
<i>Voter Rationale: Incentive awards to executives should be clearly disclosed and include robust and stretching performance targets to reward strong performance and drive shareholder value over a sufficiently long period of time. Moreover, companies should consider introducing deferral element and clawback provisions to the short- and long-term incentive schemes in line with market best practice.</i>					
10	Approve Principles for Climate Change Action and Environmental Governance	Mgmt	For	For	For
	Shareholder Proposals Submitted by TCI Luxembourg SarL and CIFF Capital UK LP (Items 11 and 12)	Mgmt			
11	Approve Instructions to the Board to Present the Climate Action Plan at the 2021 AGM and Updated Climate Action Reports at the AGM that May be Held as from 2022 (Inclusive) and to Submit them to a Consultative Vote as a Separate Agenda Item	SH	Against	For	For
<i>Voter Rationale: The requested reporting and update effort on the company's climate transition plan will improve Aena's transparency on its environmental actions and is not deemed overly burdensome for the company. There is no legal risk associated with the advisory vote on the climate transition plan and update reports by shareholders.</i>					
12	Add New Article 50 bis	SH	Against	For	For
<i>Voter Rationale: The requested reporting and update effort on the company's climate transition plan will improve Aena's transparency on its environmental actions and is not deemed overly burdensome for the company. There is no legal risk associated with the advisory vote on the climate transition plan and update reports by shareholders.</i>					
13	Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	For	For	For

Atlantia SpA

Meeting Date: 10/30/2020

Country: Italy

Meeting Type: Special

Ticker: ATL

Primary ISIN: IT0003506190

Primary SEDOL: 7667163

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Ordinary Business	Mgmt			
1	Elect Director	Mgmt	For	For	For
<i>Voter Rationale: Executive officers are expected to hold no more than one external directorships to ensure they have sufficient time and energy to discharge their roles properly, particularly during unexpected company situations requiring substantial amounts of time.</i>					

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Atlantia SpA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Extraordinary Business	Mgmt			
1	Approve Cancellation of Capital Authorization Approved on August 8, 2013; Amend Company Bylaws Re: Article 6	Mgmt	For	For	For
2	Amend Company Bylaws Re: Articles 6, 20, 23, and 32	Mgmt	For	For	For
3	Approve Partial and Proportional Demerger Plan of Atlantia SpA in Favor of Autostrade Concessioni e Costruzioni SpA; Amend Company Bylaws Re: Article 6	Mgmt	For	For	For

Erste Group Bank AG

Meeting Date: 11/10/2020

Country: Austria

Meeting Type: Annual

Ticker: EBS

Primary ISIN: AT0000652011

Primary SEDOL: 5289837

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal 2019 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Dividends of EUR 0.75 per Share	Mgmt	For	For	For
3	Approve Discharge of Management Board for Fiscal 2019	Mgmt	For	For	For
4	Approve Discharge of Supervisory Board for Fiscal 2019	Mgmt	For	Against	Against
<i>Voter Rationale: The company should reduce director terms and implement either staggered election cycles or, ideally, annual re-elections, in order to facilitate a more dynamic board refreshment process.</i>					
5	Ratify PwC as Auditors for Fiscal 2021	Mgmt	For	For	For
6.1	Approve Decrease in Size of Supervisory Board to Twelve Members	Mgmt	For	For	For
6.2	Reelect Maximilian Hardegg as Supervisory Board Member	Mgmt	For	Against	Against
<i>Voter Rationale: This director is not an independent director, yet sits on an audit committee that is majority non-independent. The audit committee requires independence, and non-independent directors could be conflicted, thereby hampering the committee's impartiality and effectiveness. In addition, the nomination committee should be majority independent and this director's membership could hamper the committee's impartiality and effectiveness.</i>					
6.3	Elect Friedrich Santner as Supervisory Board Member	Mgmt	For	For	For
6.4	Elect Andras Simor as Supervisory Board Member	Mgmt	For	For	For

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Erste Group Bank AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Approve Remuneration Policy	Mgmt	For	Against	Against
<i>Voter Rationale: Incentive awards to executives should be clearly disclosed and include robust and stretching performance targets to reward strong performance and drive shareholder value over a sufficiently long period of time.</i>					
8	Amend Articles Re: Electronic Participation in the General Meeting	Mgmt	For	Against	Against
<i>Voter Rationale: Changes in company's by-laws should not result in the erosion of shareholder rights.</i>					

Unibail-Rodamco-Westfield SE

Meeting Date: 11/10/2020	Country: France	Ticker: URW
	Meeting Type: Special	
	Primary ISIN: FR0013326246	Primary SEDOL: BFYM460

Did Not Vote Due to Ballot Shareblocking

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Extraordinary Business	Mgmt			
1	Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 3.5 Billion	Mgmt	For	Refer	Do Not Vote
2	Authorize Capital Issuances for Use in Employee Stock Purchase Plans	Mgmt	For	For	Do Not Vote
3	Authorize Filing of Required Documents/Other Formalities	Mgmt	For	Refer	Do Not Vote
	Shareholder Proposals Submitted by Flagship Retail Investment and Rock Investment	Mgmt			
A	Elect Leon Bressier as Supervisory Board Member	SH	Against	Refer	Do Not Vote
B	Elect Susana Gallardo as Supervisory Board Member	SH	Against	Refer	Do Not Vote
C	Elect Xavier Niel as Supervisory Board Member	SH	Against	Refer	Do Not Vote

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Extraordinary Business	Mgmt			

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Unibail-Rodamco-Westfield SE

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 3.5 Billion	Mgmt	For	Refer	For
<p><i>Voter Rationale: The highly uncertain market environment and the fact that a prolonged crisis that could severely impact the company's operations is not an entirely unrealistic scenario, justifying giving the company the option to raise capital if conditions deteriorate drastically. The election of the three dissidents to the board would be signal to the board to ensure additional scrutiny of the capital raising.</i></p>					
2	Authorize Capital Issuances for Use in Employee Stock Purchase Plans	Mgmt	For	For	For
3	Authorize Filing of Required Documents/Other Formalities	Mgmt	For	Refer	For
	Shareholder Proposals Submitted by Flagship Retail Investment and Rock Investment	Mgmt			
A	Elect Leon Bressier as Supervisory Board Member	SH	Against	Refer	For
<p><i>Voter Rationale: The addition of three experienced nominees will give the dissidents a strong voice and ensure that the reconstituted board has had a chance to review the capital raising option in detail.</i></p>					
B	Elect Susana Gallardo as Supervisory Board Member	SH	Against	Refer	For
<p><i>Voter Rationale: The addition of three experienced nominees will give the dissidents a strong voice and ensure that the reconstituted board has had a chance to review the capital raising option in detail.</i></p>					
C	Elect Xavier Niel as Supervisory Board Member	SH	Against	Refer	For
<p><i>Voter Rationale: The addition of three experienced nominees will give the dissidents a strong voice and ensure that the reconstituted board has had a chance to review the capital raising option in detail.</i></p>					

iShares III Public Limited Company - iShares Aggregate Bond UCITS ETF

Meeting Date: 11/13/2020	Country: Ireland	Ticker: IEAG
	Meeting Type: Annual	
	Primary ISIN: IE00B3DKXQ41	Primary SEDOL: B4KRPD6

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Ratify Deloitte as Auditors	Mgmt	For	For	For
3	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
4	Re-elect Ros O'Shea as Director	Mgmt	For	For	For
5	Re-elect Jessica Irschick as Director	Mgmt	For	For	For

Alte Leipziger All Votes Report

Vote Summary Report

Date range covered: 10/01/2020 to 12/31/2020

iShares III Public Limited Company - iShares Aggregate Bond UCITS ETF

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Re-elect Barry O'Dwyer as Director	Mgmt	For	For	For
7	Re-elect Paul McGowan as Director	Mgmt	For	For	For
8	Re-elect Paul McNaughton as Director	Mgmt	For	Against	Against
<i>Voter Rationale: Directors are expected to hold only a small number of directorships and ensure they have sufficient time and energy to discharge their role properly, particularly during unexpected company situations requiring substantial amounts of time.</i>					
9	Re-elect Deirdre Somers as Director	Mgmt	For	For	For
10	Re-elect Teresa O'Flynn as Director	Mgmt	For	For	For

iShares III Public Limited Company - iShares Core Corp Bond UCITS ETF

Meeting Date: 11/13/2020

Country: Ireland

Meeting Type: Annual

Ticker: IEAC

Primary ISIN: IE00B3F81R35

Primary SEDOL: B3F81R3

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Ratify Deloitte as Auditors	Mgmt	For	For	For
3	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
4	Re-elect Ros O'Shea as Director	Mgmt	For	For	For
5	Re-elect Jessica Irschick as Director	Mgmt	For	For	For
6	Re-elect Barry O'Dwyer as Director	Mgmt	For	For	For
7	Re-elect Paul McGowan as Director	Mgmt	For	For	For
8	Re-elect Paul McNaughton as Director	Mgmt	For	Against	Against
<i>Voter Rationale: Directors are expected to hold only a small number of directorships and ensure they have sufficient time and energy to discharge their role properly, particularly during unexpected company situations requiring substantial amounts of time.</i>					
9	Re-elect Deirdre Somers as Director	Mgmt	For	For	For
10	Re-elect Teresa O'Flynn as Director	Mgmt	For	For	For

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Vote Summary Report

Date range covered: 10/01/2020 to 12/31/2020

iShares III Public Limited Company - iShares Covered Bond UCITS ETF

Meeting Date: 11/13/2020

Country: Ireland

Meeting Type: Annual

Ticker: ICOV

Primary ISIN: IE00B3B8Q275

Primary SEDOL: B3KTQK9

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Ratify Deloitte as Auditors	Mgmt	For	For	For
3	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
4	Re-elect Ros O'Shea as Director	Mgmt	For	For	For
5	Re-elect Jessica Irschick as Director	Mgmt	For	For	For
6	Re-elect Barry O'Dwyer as Director	Mgmt	For	For	For
7	Re-elect Paul McGowan as Director	Mgmt	For	For	For
8	Re-elect Paul McNaughton as Director	Mgmt	For	Against	Against
<i>Voter Rationale: Directors are expected to hold only a small number of directorships and ensure they have sufficient time and energy to discharge their role properly, particularly during unexpected company situations requiring substantial amounts of time.</i>					
9	Re-elect Deirdre Somers as Director	Mgmt	For	For	For
10	Re-elect Teresa O'Flynn as Director	Mgmt	For	For	For

iShares IV plc - iShares Edge MSCI Europe Momentum Factor UCITS ETF

Meeting Date: 11/13/2020

Country: Ireland

Meeting Type: Annual

Ticker: IEMO

Primary ISIN: IE00BQN1K786

Primary SEDOL: BQN1K78

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Ratify Deloitte as Auditors	Mgmt	For	For	For
3	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
4	Re-elect Ros O'Shea as Director	Mgmt	For	For	For
5	Re-elect Jessica Irschick as Director	Mgmt	For	For	For

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iShares IV plc - iShares Edge MSCI Europe Momentum Factor UCITS ETF

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Re-elect Barry O'Dwyer as Director	Mgmt	For	For	For
7	Re-elect Paul McGowan as Director	Mgmt	For	For	For
8	Re-elect Paul McNaughton as Director	Mgmt	For	Against	Against
<i>Voter Rationale: Directors are expected to hold only a small number of directorships and ensure they have sufficient time and energy to discharge their role properly, particularly during unexpected company situations requiring substantial amounts of time.</i>					
9	Re-elect Deirdre Somers as Director	Mgmt	For	For	For
10	Re-elect Teresa O'Flynn as Director	Mgmt	For	For	For

iShares IV plc - iShares Edge MSCI Europe Quality Factor UCITS ETF

Meeting Date: 11/13/2020

Country: Ireland

Meeting Type: Annual

Ticker: IEQU

Primary ISIN: IE00BQN1K562

Primary SEDOL: BQN1K56

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Ratify Deloitte as Auditors	Mgmt	For	For	For
3	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
4	Re-elect Ros O'Shea as Director	Mgmt	For	For	For
5	Re-elect Jessica Irschick as Director	Mgmt	For	For	For
6	Re-elect Barry O'Dwyer as Director	Mgmt	For	For	For
7	Re-elect Paul McGowan as Director	Mgmt	For	For	For
8	Re-elect Paul McNaughton as Director	Mgmt	For	Against	Against
<i>Voter Rationale: Directors are expected to hold only a small number of directorships and ensure they have sufficient time and energy to discharge their role properly, particularly during unexpected company situations requiring substantial amounts of time.</i>					
9	Re-elect Deirdre Somers as Director	Mgmt	For	For	For
10	Re-elect Teresa O'Flynn as Director	Mgmt	For	For	For

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iShares IV plc - iShares Edge MSCI World Momentum Factor UCITS ETF

Meeting Date: 11/13/2020

Country: Ireland

Meeting Type: Annual

Ticker: IWFM

Primary ISIN: IE00BP3QZ825

Primary SEDOL: BP3QZ93

Did Not Vote Due to Ballot Shareblocking

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	Do Not Vote
2	Ratify Deloitte as Auditors	Mgmt	For	For	Do Not Vote
3	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	Do Not Vote
4	Re-elect Ros O'Shea as Director	Mgmt	For	For	Do Not Vote
5	Re-elect Jessica Irschick as Director	Mgmt	For	For	Do Not Vote
6	Re-elect Barry O'Dwyer as Director	Mgmt	For	For	Do Not Vote
7	Re-elect Paul McGowan as Director	Mgmt	For	Against	Do Not Vote
8	Re-elect Paul McNaughton as Director	Mgmt	For	For	Do Not Vote
9	Re-elect Deirdre Somers as Director	Mgmt	For	For	Do Not Vote
10	Re-elect Teresa O'Flynn as Director	Mgmt	For	For	Do Not Vote

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Ratify Deloitte as Auditors	Mgmt	For	For	For
3	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
4	Re-elect Ros O'Shea as Director	Mgmt	For	For	For
5	Re-elect Jessica Irschick as Director	Mgmt	For	For	For
6	Re-elect Barry O'Dwyer as Director	Mgmt	For	For	For

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iShares IV plc - iShares Edge MSCI World Momentum Factor UCITS ETF

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Re-elect Paul McGowan as Director	Mgmt	For	Against	Against
<i>Voter Rationale: Directors are expected to hold only a small number of directorships and ensure they have sufficient time and energy to discharge their role properly, particularly during unexpected company situations requiring substantial amounts of time.</i>					
8	Re-elect Paul McNaughton as Director	Mgmt	For	For	For
9	Re-elect Deirdre Somers as Director	Mgmt	For	For	For
10	Re-elect Teresa O'Flynn as Director	Mgmt	For	For	For

iShares IV plc - iShares Ultrashort Bond UCITS ETF

Meeting Date: 11/13/2020	Country: Ireland	Ticker: ERNE
	Meeting Type: Annual	
	Primary ISIN: IE00BCRY6557	Primary SEDOL: BCRY655

Did Not Vote Due to Ballot Shareblocking

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	Do Not Vote
2	Ratify Deloitte as Auditors	Mgmt	For	For	Do Not Vote
3	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	Do Not Vote
4	Re-elect Ros O'Shea as Director	Mgmt	For	For	Do Not Vote
5	Re-elect Jessica Irschick as Director	Mgmt	For	For	Do Not Vote
6	Re-elect Barry O'Dwyer as Director	Mgmt	For	For	Do Not Vote
7	Re-elect Paul McGowan as Director	Mgmt	For	For	Do Not Vote
8	Re-elect Paul McNaughton as Director	Mgmt	For	Against	Do Not Vote
9	Re-elect Deirdre Somers as Director	Mgmt	For	For	Do Not Vote
10	Re-elect Teresa O'Flynn as Director	Mgmt	For	For	Do Not Vote

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Date range covered: 10/01/2020 to 12/31/2020

JPMorgan Funds - US Value Fund

Meeting Date: 11/18/2020

Country: Luxembourg

Meeting Type: Annual

Ticker: FPRL7V.F

Primary ISIN: LU0210536511

Primary SEDOL: B05MK77

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Board's and Auditor's Reports	Mgmt			
2	Approve Audited Annual Report for the Fund	Mgmt	For	For	For
3	Approve Discharge of Directors	Mgmt	For	For	For
4	Approve Remuneration of Directors for the Accounting Year Ended 30 June 2021	Mgmt	For	For	For
5	Re-Elect John Li How Cheong, Martin Porter and Daniel Watkins as Directors for 3 Years	Mgmt	For	For	For
<i>Voter Rationale: The board should submit directors for re-election individually, rather than as a single slate to enable shareholders to hold directors individually accountable for their performance.</i>					
6	Elect Marion Mulvey as Director for 1 Year	Mgmt	For	For	For
7	Renew Appointment of PricewaterhouseCoopers as Auditor and Authorize Board to Agree on Their Terms of Appointment	Mgmt	For	For	For
8	Approve Allocation of Income and Dividends	Mgmt	For	For	For

GEA Group AG

Meeting Date: 11/26/2020

Country: Germany

Meeting Type: Annual

Ticker: G1A

Primary ISIN: DE0006602006

Primary SEDOL: 4557104

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal 2019 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Dividends of EUR 0.43 per Share	Mgmt	For	For	For
3	Approve Discharge of Management Board for Fiscal 2019	Mgmt	For	For	For
4	Approve Discharge of Supervisory Board for Fiscal 2019	Mgmt	For	Against	Against

Voter Rationale: The company should reduce director terms and implement either staggered election cycles or, ideally, annual re-elections, in order to facilitate a more dynamic board refreshment process.

Alte Leipziger All Votes Report

Vote Summary Report

Date range covered: 10/01/2020 to 12/31/2020

GEA Group AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5	Ratify KPMG AG as Auditors for Fiscal 2020	Mgmt	For	For	For
6	Elect Annette Koehler to the Supervisory Board	Mgmt	For	For	For
7	Approve Affiliation Agreement with GEA Internal Services GmbH	Mgmt	For	For	For
8.1	Amend Articles Re: Proof of Entitlement	Mgmt	For	For	For
8.2	Amend Articles Re: Electronic Participation in the General Meeting and Absentee Vote	Mgmt	For	For	For
8.3	Amend Articles Re: Supervisory Board Meetings and Resolutions	Mgmt	For	For	For
8.4	Amend Articles Re: Advanced Payment	Mgmt	For	For	For
9	Approve Creation of EUR 130 Million Pool of Capital with Partial Exclusion of Preemptive Rights	Mgmt	For	For	For
10	Approve Creation of EUR 52 Million Pool of Capital without Preemptive Rights	Mgmt	For	For	For
11	Approve Issuance of Warrants/Bonds with Warrants Attached/Convertible Bonds without Preemptive Rights up to Aggregate Nominal Amount of EUR 750 Million; Approve Creation of EUR 52 Million Pool of Capital to Guarantee Conversion Rights	Mgmt	For	For	For

Pernod Ricard SA

Meeting Date: 11/27/2020

Country: France

Meeting Type: Annual/Special

Ticker: RI

Primary ISIN: FR0000120693

Primary SEDOL: 4682329

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Extraordinary Business	Mgmt			
1	Amend Articles 35 and 36 of Bylaws Re: AGM and EGM Majority Votes	Mgmt	For	For	For
	Ordinary Business	Mgmt			
2	Approve Financial Statements and Statutory Reports	Mgmt	For	For	For
3	Approve Consolidated Financial Statements and Statutory Reports	Mgmt	For	For	For
4	Approve Allocation of Income and Dividends of EUR 2.66 per Share	Mgmt	For	For	For

Alte Leipziger All Votes Report

Vote Summary Report

Date range covered: 10/01/2020 to 12/31/2020

Pernod Ricard SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5	Reelect Alexandre Ricard as Director	Mgmt	For	For	For
<i>Voter Rationale: The roles of Chairman and CEO are substantially different and generally should be separated. Separation of roles is important for securing a proper balance between executives and outside shareholders and preserving accountability</i>					
6	Reelect Cesar Giron as Director	Mgmt	For	For	For
<i>Voter Rationale: The nomination committee should be majority independent and this director's membership could hamper the committee's impartiality and effectiveness.</i>					
7	Reelect Wolfgang Colberg as Director	Mgmt	For	Against	Against
<i>Voter Rationale: For widely held companies, the board should include at least 50% independent non-executive directors to ensure appropriate balance of independence and objectivity. Moreover, the audit committee should be fully independent and this director's membership could hamper the committee's impartiality and effectiveness. Also, the nomination committee should be majority independent and this director's membership could hamper the committee's impartiality and effectiveness.</i>					
8	Elect Virginie Fauvel as Director	Mgmt	For	For	For
9	Approve Remuneration of Directors in the Aggregate Amount of EUR 1.25 Million	Mgmt	For	For	For
10	Approve Compensation of Alexandre Ricard, Chairman and CEO	Mgmt	For	For	For
<i>Voter Rationale: Companies should consider extending vesting periods for long-term incentive plans to 5 years or longer or as a minimum introduce an additional holding or deferral period.</i>					
11	Approve Compensation of Corporate Officers	Mgmt	For	For	For
12	Approve Remuneration Policy for Alexandre Ricard, Chairman and CEO	Mgmt	For	Against	Against
<i>Voter Rationale: All cash or share-based awards and payments that fall outside the company's remuneration policy should require ex-ante shareholder approval. Moreover, remuneration policy allows cliff-vesting of awards, thus failing to encourage progressive performance. Higher vesting levels should be linked to scaled performance targets. Also, companies should consider extending vesting periods for long-term incentive plans to 5 years or longer or as a minimum introduce an additional holding or deferral period. Further: the company should put in place a procedure which would enable it, should it identify any facts of manipulation of reported indicators or other bad faith actions on the part of any of its executive directors and other key managers which were detrimental to the long-term interests of its shareholders, to ensure that any funds wrongfully obtained in such manner are repaid to it.</i>					
13	Approve Remuneration Policy of Corporate Officers	Mgmt	For	For	For
14	Approve Auditors' Special Report on Related-Party Transactions Mentioning the Absence of New Transactions	Mgmt	For	For	For
15	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	For	For	For
16	Change Location of Registered Office to 5, Cours Paul Ricard, 75008 Paris and Amend Article 4 of Bylaws Accordingly	Mgmt	For	For	For
	Extraordinary Business	Mgmt			
17	Authorize Capital Issuances for Use in Employee Stock Purchase Plans	Mgmt	For	For	For
18	Authorize Capital Issuances for Use in Employee Stock Purchase Plans Reserved for Employees of the Group's Subsidiaries	Mgmt	For	For	For

Alte Leipziger All Votes Report

Vote Summary Report

Date range covered: 10/01/2020 to 12/31/2020

Pernod Ricard SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
19	Amend Article 21 of Bylaws Re: Board Members Deliberation via Written Consultation	Mgmt	For	For	For
20	Amend Bylaws to Comply with Legal Changes	Mgmt	For	For	For
21	Authorize Filing of Required Documents/Other Formalities	Mgmt	For	For	For

Quoniam Funds Selection SICAV - European Equities Dynamic

Meeting Date: 11/27/2020

Country: Luxembourg

Meeting Type: Annual

Ticker: VTRBDJ.F

Primary ISIN: LU1120174377

Primary SEDOL: 4PX3CL2

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Changes in the Board of Directors	Mgmt	For	Against	Against
<i>Voter Rationale: Companies should provide sufficient information on directors standing for election at least 21 days in advance of the meeting to enable shareholders to cast an informed vote.</i>					
2	Receive and Approve Board's Report	Mgmt	For	For	For
3	Receive and Approve Auditor's Report	Mgmt	For	For	For
4	Approve Financial Statements	Mgmt	For	For	For
<i>Voter Rationale: Shareholders should have the right to elect directors annually in order to hold them to account.</i>					
5	Approve Allocation of Income	Mgmt	For	For	For
6	Approve Discharge of Directors	Mgmt	For	For	For
7	Appoint Auditor	Mgmt	For	Against	Against
<i>Voter Rationale: Companies should disclose information on the auditor and fees paid to the auditor, and specify any non-audit work undertaken by the auditor.</i>					

Did Not Vote Due to Ballot Shareblocking

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Changes in the Board of Directors	Mgmt	For	Against	Do Not Vote
2	Receive and Approve Board's Report	Mgmt	For	For	Do Not Vote
3	Receive and Approve Auditor's Report	Mgmt	For	For	Do Not Vote

Alte Leipziger All Votes Report

Vote Summary Report

Date range covered: 10/01/2020 to 12/31/2020

Quoniam Funds Selection SICAV - European Equities Dynamic

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Approve Financial Statements	Mgmt	For	For	Do Not Vote
5	Approve Allocation of Income	Mgmt	For	For	Do Not Vote
6	Approve Discharge of Directors	Mgmt	For	For	Do Not Vote
7	Appoint Auditor	Mgmt	For	Against	Do Not Vote

CaixaBank SA

Meeting Date: 12/02/2020

Country: Spain

Meeting Type: Special

Ticker: CABK

Primary ISIN: ES0140609019

Primary SEDOL: B283W97

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Company's Balance Sheet as of June 30, 2020	Mgmt	For	For	For
2	Approve Merger by Absorption of Bankia SA	Mgmt	For	For	For
3.1	Elect Jose Ignacio Goirigolzarri Tellaeché as Director	Mgmt	For	For	For
<i>Voter Rationale: The board should appoint a Lead Independent Director to establish appropriate checks and balances on the Board, support the Chairman, ensure orderly succession process for the Chairman, and act as a point of contact for shareholders, non-executive directors and senior executives where normal channels of communication through the board Chairman are considered inappropriate.</i>					
3.2	Elect Joaquin Ayuso Garcia as Director	Mgmt	For	For	For
3.3	Elect Francisco Javier Campo Garcia as Director	Mgmt	For	For	For
3.4	Elect Eva Castillo Sanz as Director	Mgmt	For	For	For
3.5	Elect Teresa Santero Quintilla as Director	Mgmt	For	For	For
3.6	Elect Fernando Maria Costa Duarte Ulrich as Director	Mgmt	For	For	For
4	Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	For	For	For
5	Receive Board of Directors and Auditors' Report for the Purposes Foreseen in Article 511 of the Corporate Enterprises Law	Mgmt			

Alte Leipziger All Votes Report

Vote Summary Report

Date range covered: 10/01/2020 to 12/31/2020

Amundi Funds - European Equity Value

Meeting Date: 12/04/2020

Country: Luxembourg

Meeting Type: Annual

Ticker: X137

Primary ISIN: LU1883314244

Primary SEDOL: BHLPL09

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Board's and Auditor's Reports	Mgmt			
2	Approve Financial Statements	Mgmt	For	For	For
3	Approve Allocation of Income	Mgmt	For	For	For
4	Approve Discharge of Directors	Mgmt	For	For	For
5	Re-elect Christian Pellis as Director	Mgmt	For	For	For
6	Re-elect Christophe Lemarie as Director	Mgmt	For	For	For
7	Re-elect Elodie Laugel as Director	Mgmt	For	For	For
8	Acknowledge Resignation of Didier Clermont as Director	Mgmt			
9	Elect Eric Pinon as Director	Mgmt	For	For	For
10	Renew Appointment of PricewaterhouseCoopers as Auditor	Mgmt	For	For	For
11	Approve Remuneration of Director Eric Pinon for the Period April 9, 2020 to June 30, 2020 Amounted to EUR 11,370	Mgmt	For	For	For
12	Approve Remuneration of Director Eric Pinon for the Financial Year Ending June 30, 2021 Amounted to EUR 50,000	Mgmt	For	For	For
13	Transact Other Business (Non-Voting)	Mgmt			

Amundi Funds - Pioneer Us Equity Fundamental Growth

Meeting Date: 12/04/2020

Country: Luxembourg

Meeting Type: Annual

Ticker: KGV6V7.F

Primary ISIN: LU1883854199

Primary SEDOL: BHLQ2T8

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Board's and Auditor's Reports	Mgmt			
2	Approve Financial Statements	Mgmt	For	For	For

Alte Leipziger All Votes Report

Vote Summary Report

Date range covered: 10/01/2020 to 12/31/2020

Amundi Funds - Pioneer Us Equity Fundamental Growth

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Approve Allocation of Income	Mgmt	For	For	For
4	Approve Discharge of Directors	Mgmt	For	For	For
5	Re-elect Christian Pellis as Director	Mgmt	For	For	For
6	Re-elect Christophe Lemarie as Director	Mgmt	For	For	For
7	Re-elect Elodie Laugel as Director	Mgmt	For	For	For
8	Acknowledge Resignation of Didier Clermont as Director	Mgmt			
9	Elect Eric Pinon as Director	Mgmt	For	For	For
10	Renew Appointment of PricewaterhouseCoopers as Auditor	Mgmt	For	For	For
11	Approve Remuneration of Director Eric Pinon for the Period April 9, 2020 to June 30, 2020 Amounted to EUR 11,370	Mgmt	For	For	For
12	Approve Remuneration of Director Eric Pinon for the Financial Year Ending June 30, 2021 Amounted to EUR 50,000	Mgmt	For	For	For
13	Transact Other Business (Non-Voting)	Mgmt			

Banco Santander Totta SA

Meeting Date: 12/07/2020

Country: Portugal

Meeting Type: Bondholder

Ticker: N/A

Primary ISIN: PTBSRNOM0019

Primary SEDOL: N/A

Did Not Vote Due to Ballot Shareblocking

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for Holders of Bonds with ISIN PTBSRJOM0023	Mgmt			
1	Approve Removal of BNP Paribas Trust Corporation UK Limited as Common Representative of the Holders of the Covered Bonds under the Programme and the Appointment, in its Place, of Bondholders SL as the Common Representative	Mgmt	For	Refer	Do Not Vote

Alte Leipziger All Votes Report

Vote Summary Report

Date range covered: 10/01/2020 to 12/31/2020

GAM Star Fund plc - GAM Star Credit Opportunities (EUR)

Meeting Date: 12/08/2020

Country: Ireland

Meeting Type: Annual

Ticker: QFCVDX.F

Primary ISIN: IE00BHBXBG90

Primary SEDOL: BHBXBG9

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Ratify PricewaterhouseCoopers as Auditors	Mgmt	For	For	For
2	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For

ABN AMRO Bank NV

Meeting Date: 12/15/2020

Country: Netherlands

Meeting Type: Special

Ticker: ABN

Primary ISIN: NL0011540547

Primary SEDOL: BYQP136

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Special Meeting Agenda	Mgmt			
1	Open Meeting and Announcements	Mgmt			
2i	Announce Supervisory Board's Nomination for Appointment	Mgmt			
2ii	Receive Explanation and Motivation by Mariken Tannemaat	Mgmt			
2iii	Elect Mariken Tannemaat to Supervisory Board	Mgmt	For	For	For
3	Close Meeting	Mgmt			

Aroundtown SA

Meeting Date: 12/15/2020

Country: Luxembourg

Meeting Type: Special

Ticker: AT1

Primary ISIN: LU1673108939

Primary SEDOL: BF0CK44

Alte Leipziger All Votes Report

Vote Summary Report

Date range covered: 10/01/2020 to 12/31/2020

Aroundtown SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Special Meeting Agenda	Mgmt			
1	Approve Dividends	Mgmt	For	For	For

Flutter Entertainment Plc

Meeting Date: 12/29/2020

Country: Ireland

Meeting Type: Special

Ticker: FLTR

Primary ISIN: IE00BWT6H894

Primary SEDOL: BWXC0Z1

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Acquisition of the Fastball Shares by TSE Holdings Limited	Mgmt	For	For	For

Contact us

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